



# Botox® (onabotulinumtoxinA) Injectable Medication Precertification Request

Page 1 of 3

(All fields must be completed and legible for precertification review.)

Aetna Precertification Notification  
Phone: 1-866-752-7021 (TTY:711)  
FAX: 1-888-267-3277

For Medicare Advantage Part B:  
Please Use Medicare Request Form

Please indicate:  Start of treatment: Start date \_\_\_\_ / \_\_\_\_ / \_\_\_\_  
 Continuation of therapy, Date of last treatment \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Precertification Requested By: \_\_\_\_\_ Phone: \_\_\_\_\_ Fax: \_\_\_\_\_

## A. PATIENT INFORMATION

First Name:		Last Name:		DOB:	
Address:			City:		State: ZIP:
Home Phone:		Work Phone:		Cell Phone: Email:	
Patient Current Weight: ____ lbs or ____ kgs		Patient Height: ____ inches or ____ cms		Allergies:	

## B. INSURANCE INFORMATION

Aetna Member ID #: _____		Does patient have other coverage? <input type="checkbox"/> Yes <input type="checkbox"/> No	
Group #: _____		If yes, provide ID#: _____ Carrier Name: _____	
Insured: _____		Insured: _____	
Medicare: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #:		Medicaid: <input type="checkbox"/> Yes <input type="checkbox"/> No If yes, provide ID #:	

## C. PRESCRIBER INFORMATION

First Name:		Last Name:		(Check One): <input type="checkbox"/> M.D. <input type="checkbox"/> D.O. <input type="checkbox"/> N.P. <input type="checkbox"/> P.A.	
Address:			City:		State: ZIP:
Phone:		Fax:		St Lic #: NPI #: DEA #: UPIN:	
Provider Email:			Office Contact Name:		Phone:

Specialty (Check one):  Dermatologist  Neurologist  Orthopedist  Otolaryngologist  Psychiatrist  Other: \_\_\_\_\_

## D. DISPENSING PROVIDER/ADMINISTRATION INFORMATION

Place of Administration:		Dispensing Provider/Pharmacy: (Patient selected choice)	
<input type="checkbox"/> Self-administered <input type="checkbox"/> Physician's Office		<input type="checkbox"/> Physician's Office <input type="checkbox"/> Retail Pharmacy	
<input type="checkbox"/> Outpatient Infusion Center Phone: _____		<input type="checkbox"/> Specialty Pharmacy <input type="checkbox"/> Other: _____	
Center Name: _____		Name: _____	
<input type="checkbox"/> Home Infusion Center Phone: _____		Address: _____	
Agency Name: _____		Phone: _____ Fax: _____	
<input type="checkbox"/> Administration code(s) (CPT): _____		TIN: _____ PIN: _____	
Address: _____			

## E. PRODUCT INFORMATION

Request is for: Botox (onabotulinumtoxinA) Dose: \_\_\_\_\_ Frequency: \_\_\_\_\_  
\*\*Please note - requests over 400 units per day may require a medical exception review\*\*

## F. DIAGNOSIS INFORMATION - Please indicate primary ICD code and specify any other where applicable.

Primary ICD Code: \_\_\_\_\_ Secondary ICD Code: \_\_\_\_\_ Other ICD Code: \_\_\_\_\_

## G. CLINICAL INFORMATION - Required clinical information must be completed in its entirety for all precertification requests.

**For All Requests (clinical documentation required for all requests):**

Yes  No Is therapy prescribed for cosmetic purposes (e.g., treatment of wrinkles or uncorrected congenital strabismus and no binocular fusion)?

Achalasia  
 Yes  No Has the patient tried and failed or is a poor candidate for conventional therapy such as pneumatic dilation and surgical myotomy?  
 Yes  No Will the requested drug be prescribed by or in consultation with a gastroenterologist, proctologist, or colorectal surgeon?

Blepharospasm  
 Yes  No Has the patient been diagnosed with blepharospasm, including blepharospasm associated with dystonia, benign essential blepharospasm or VII nerve disorder?  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or ophthalmologist?

Cervical dystonia (e.g., torticollis)  
 Yes  No Prior to initiating therapy with the requested drug, was/is there abnormal placement of the head with limited range of motion in the neck?  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, orthopedist, otolaryngologist, or psychiatrist?

Chronic anal fissure  
 Yes  No Has the patient failed to respond to first line therapy for chronic anal fissures such as topical calcium channel blockers or topical nitrates?  
 Yes  No Will the requested drug be prescribed by or in consultation with a gastroenterologist, proctologist, or colorectal surgeon?

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Patient First Name	Patient Last Name	Patient Phone	Patient DOB
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**G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.**

**Chronic migraine prophylaxis**  
 Prior to initiating therapy, how many days per month does (did) the patient experience headaches?  15 days or more  Less than 15 days  
 Yes  No Do (did) the patient's headaches last 4 hours or longer on at least 8 days per month?  
 Yes  No Has the patient completed an adequate trial of 2 oral migraine preventative therapies coming from at least 2 of the following classes: antidepressants (e.g., amitriptyline, venlafaxine), or antiepileptic drugs (AEDs) (e.g., divalproex sodium, topiramate, valproate sodium), or beta-adrenergic blocking agents (e.g., metoprolol, propranolol, timolol, atenolol, nadolol)?  
      Yes  No Does the patient have a contraindication to any of the following classes: antidepressants (e.g., amitriptyline, venlafaxine), or antiepileptic drugs (AEDs) (e.g., divalproex sodium, topiramate, valproate sodium), or beta-adrenergic blocking agents (e.g., metoprolol, propranolol, timolol, atenolol, nadolol)?  
     Please indicate the number of classes the patient has a contraindication to:  
      One class or  Two classes or more  
     Please indicate the number of classes the patient had an adequate trial:  One class or  Two classes or more  
     Please indicate how many days was the trial of each drug:  60 days or more  Less than 60 days  
 Yes  No Does the patient have signs and symptoms consistent with chronic migraine diagnostic criteria as defined by the International Headache Society (IHS)?  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, pain specialist, or physiatrist?

**Essential tremor**  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, pain specialist, or physiatrist?

**Excessive salivation (chronic sialorrhea, ptyalism)**  
 Yes  No Is the patient refractory to pharmacotherapy (e.g., anticholinergics)?  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or otolaryngologist?

**Facial myokymia**  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, orthopedist, otolaryngologist, or physiatrist?

**First bite syndrome**  
 Yes  No Has the patient failed to experience relief from analgesics, antidepressants, or anticonvulsants?  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or oncologist?

**Focal hand dystonia**  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, orthopedist, otolaryngologist, or physiatrist?

**Hemifacial spasm**  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, orthopedist, otolaryngologist, or physiatrist?

**Hirschsprung disease with internal sphincter achalasia**  
 Yes  No Has the patient undergone an endorectal pull through to treat the Hirschsprung disease with internal sphincter achalasia?  
 Yes  No Is the patient refractory to laxative therapy?  
 Yes  No Will the requested drug be prescribed by or in consultation with a gastroenterologist, proctologist, or colorectal surgeon?

**Limb spasticity**  
 Please indicate which of the following applies to the patient:  Upper limb spasticity  Lower limb spasticity  
 Yes  No Does the patient have a primary diagnosis of upper or lower limb spasticity or as a symptom of a condition causing limb spasticity (including focal spasticity or equinus gait due to cerebral palsy)?  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, orthopedist, otolaryngologist, or physiatrist?

**Myofascial pain syndrome**  
 Please indicate which of the following treatments has the patient tried and failed for myofascial pain syndrome:  
 Physical therapy  Injection of local anesthetics into trigger points  Injection of corticosteroids into trigger points  
 Please indicate how many treatments were tried and failed: \_\_\_\_\_  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, orthopedist, otolaryngologist, or physiatrist?

**Orofacial tardive dyskinesia**  
 Yes  No Has the patient tried and failed conventional therapies for orofacial tardive dyskinesia (e.g., benzodiazepines, clozapine, or tetrabenazine)?  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, pain specialist, or physiatrist?

**Oromandibular dystonia**  
 Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or otolaryngologist?

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### G. CLINICAL INFORMATION (continued) – Required clinical information must be completed in its entirety for all precertification requests.

- Overactive bladder with urinary incontinence**
  - Yes  No Prior to initiating therapy with the requested drug, along with urinary incontinence, does (did) the patient experience urgency and frequency?
  - Yes  No Has the patient tried and failed behavioral therapy?
  - Yes  No Has the patient had an inadequate response or experienced intolerance to at least 2 agents from either of the following classes?
    - Anticholinergic medications (e.g., Vesicare [solifenacin], Enablex [darifenacin], Toviaz [fesoterodine], Detrol/Detrol LA [tolterodine], Sanctura/Sanctura XR [trospium], Ditropan XL [oxybutynin])
    - Beta-3 adrenergic agonist (e.g., Myrbetriq [mirabegron], Gemtesa [vibegron])
  - Yes  No Will the requested drug be prescribed by or in consultation with neurologist, urologist, or gynecologist?
- Painful bruxism**
  - Yes  No Did the patient try and have an inadequate response to a night guard?
  - Yes  No Did the patient have an inadequate response to pharmacotherapy such as diazepam?
  - Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or otolaryngologist?
- Palatal myoclonus**
  - Yes  No Prior to initiating therapy with the requested drug, does (did) the patient have disabling symptoms (e.g., intrusive clicking tinnitus)?
  - Yes  No Did the patient have an inadequate response to clonazepam, lamotrigine, carbamazepine, or valproate?
  - Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or otolaryngologist?
- Primary axillary, palmar, and gustatory (Frey's syndrome) hyperhidrosis**
  - Yes  No Has significant disruption of professional and/or social life occurred because of excessive sweating?
  - Yes  No Has the patient tried topical aluminum chloride or other extra-strength antiperspirants?
    - Yes  No Was the topical aluminum chloride or other extra-strength antiperspirant ineffective or resulted in a severe rash?
  - Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, internist, or dermatologist?
- Spasmodic dysphonia (laryngeal dystonia)**
  - Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or otolaryngologist?
- Strabismus**
  - Yes  No Is interference with the patient's normal visual system development is likely to occur? Note: Strabismus repair is considered cosmetic in adults with uncorrected congenital strabismus and no binocular fusion.
  - Yes  No Is the patient likely to have spontaneous recovery?
  - Yes  No Will the requested drug be prescribed by or in consultation with a neurologist or ophthalmologist?
- Urinary incontinence associated with a neurologic condition (e.g., spinal cord injury, multiple sclerosis)**
  - Yes  No Has the patient tried and failed behavioral therapy?
  - Yes  No Has the patient had an inadequate response or experienced intolerance to 1 agent from either of the following classes?
    - Anticholinergic medications (e.g., Vesicare [solifenacin], Enablex [darifenacin], Toviaz [fesoterodine], Detrol/Detrol LA [tolterodine], Sanctura/Sanctura XR [trospium], Ditropan XL [oxybutynin])
    - Beta-3 adrenergic agonist (e.g., Myrbetriq [mirabegron])
  - Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, urologist, or gynecologist?

### For All Continuation Requests (clinical documentation required):

- Yes  No Was the requested drug effective for treating the diagnosis or condition?
- Chronic migraine prophylaxis only**
  - Yes  No Has the patient achieved or maintained a reduction in monthly headache frequency since starting the requested drug therapy?
  - Yes  No Will the requested drug be prescribed by or in consultation with a neurologist, pain specialist, or physiatrist?

### H. ACKNOWLEDGEMENT

Request Completed By (Signature Required): \_\_\_\_\_ Date: \_\_\_\_ / \_\_\_\_ / \_\_\_\_

Any person who knowingly files a request for authorization of coverage of a medical procedure or service with the intent to injure, defraud or deceive any insurance company by providing materially false information or conceals material information for the purpose of misleading, commits a fraudulent insurance act, which is a crime and subjects such person to criminal and civil penalties.

The plan may request additional information or clarification, if needed, to evaluate requests.